

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SAREEN ET AL. Examiner: UNKNOWN  
Serial No.: 10/667,726 Group Art Unit: UNKNOWN  
Filed: SEPTEMBER 22, 2003 Docket: 60001.0284US01/305087.1  
Title: METHOD AND APPARATUS FOR IDENTIFYING THE CAUSE OF AN EVENT  
OCCURRING WITHIN A COMPUTER SYSTEM

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004.

By: \_\_\_\_\_

Leonard J. Hope

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**27488**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 4 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD LLC  
P.O. Box 2903, Minneapolis, MN 55402-0903  
404.954.5100

By: \_\_\_\_\_

Name: Leonard J. Hope

Reg. No.: 44,774

LHope:PLStdM

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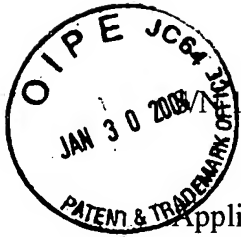
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By:

Leonard J. Hope

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document in the "Other Documents" column listed on the enclosed Form 1449 is enclosed; and in accordance with 37 C.F.R. §1.98(a)(2)(i), a copy of all U.S. patents listed on the enclosed Form 1449 are not provided, as this application was filed after June 30, 2003.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

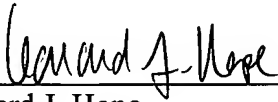
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

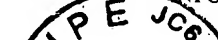
Respectfully submitted,

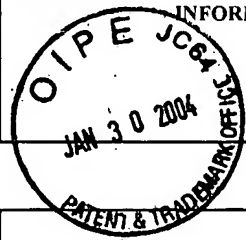
MERCHANT & GOULD P.C.  
P. O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(404) 954.5100

Date: January 27, 2004

  
\_\_\_\_\_  
Leonard J. Hope  
Reg. No. 44,774  
LJH:PLStdM



<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 60001.0284US01/305087.1	Application Number: 10/667,726
	Applicant: SAREEN ET AL.	
	Filing Date: 09/22/2003	Group Art Unit: UNKNOWN



## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		Hakala, D. et al., "You've got e-mail," <i>PC World (US Edition)</i> , Vol. 16, No. 6, 9 pgs. (June 1998).
		Hurwicz, M., "The Outlook for Exchange," <i>Workgroup Computing Report</i> , Vol. 20, No. 12, pp. 3-28 (December 1997).
		Perets, A., "Using e-mail filters to increase efficiency," <i>Office Solutions</i> , Vol. 18, No. 2, pp. 38-40 (February 2001).
		Pollock, S., "A Rule-Based Message Filter System," <i>ACM Transactions on Office Information Systems</i> , Vol. 6, No. 3, pp. 232-254 (July 1988).

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	